

## HAMILTON, BROOK, SMITH &amp; REYNOLDS, P.C.

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TITLE**UTILITY****PATENT APPLICATION  
TRANSMITTAL**(Only for new nonprovisional applications under  
37 C.F.R. 1.53(b))

Attorney Docket No. 1322.1026001

First Named Inventor or Application Identifier David L. Kaplan

Express Mail Label No. EL192648560US

03-06e-00  
A  
J0525 U.S. PT  
09/516020  
03/03/00

Title of Invention

Emulsan and Emulsan Analogs Immunization Formulations and Use

**APPLICATION ELEMENTS**

See MPEP chapter 600 concerning utility patent application contents.

ADDRESS TO: Assistant Commissioner for Patents  
Box Patent Application  
Washington, D.C. 20231

1.  Fee Transmittal Form  
(Submit an original, and a duplicate for fee processing)
2.  Specification **[Total Pages 47]**  
(preferred arrangement set forth below)
  - Descriptive title of the invention
  - Cross References to Related Applications
  - Statement Regarding Fed sponsored R & D
  - Reference to microfiche Appendix
  - Background of the Invention
  - Summary of the Invention
  - Brief Description of the Drawings
  - Detailed Description
  - Claim(s)
  - Abstract of the Disclosure
3.  Drawing(s) (35 U.S.C. 113) **[Total Sheets [12]]**
  - Formal
  - Informal
4.  Oath or Declaration/POA **[Total Pages [ ] ]**
  - a.  Newly executed (original or copy)
  - b.  Copy from a prior application (37 C.F.R. 1.63(d))  
(for continuation/divisional with Box 17 completed)  
**[NOTE Box 5 below]**
    - i.  DELETION OF INVENTOR(S)  
Signed statement attached deleting inventor(s) named in the prior application, see 37 C.F.R. 1.63(d)(2) and 1.33(b).
5.  Incorporation By Reference (useable if Box 4b is checked)  
The entire disclosure of the prior application, from which a copy of the oath or declaration is supplied under Box 4b, is considered as being part of the disclosure of the accompanying application and is hereby incorporated by reference therein.
6.  Microfiche Computer Program (*Appendix*)
7.  Nucleotide and/or Amino Acid Sequence Submission  
(if applicable, all necessary)
  - a.  Computer Readable Copy
  - b.  Paper Copy (identical to computer copy)  
[ ] Pages
  - c.  Statement verifying identity of above copies

**ACCOMPANYING APPLICATION PARTS**

8.  Assignment Papers (cover sheet & documents)
9.  37 C.F.R. 3.73(b) Statement  Power of Attorney  
(when there is an assignee)
10.  English Translation Document (*if applicable*)
11.  Information Disclosure Statement (IDS)/PTO-1449  Copies of IDS Citations
12.  Preliminary Amendment
13.  Return Receipt Postcard (MPEP 503)  
(Should be specifically itemized)
14.  Small Entity  Statement filed in prior application, status still proper and desired
15.  Certified Copy of Priority Document(s)  
(if foreign priority is claimed)
16.  Other: \_\_\_\_\_

## 17. If a CONTINUING APPLICATION, check appropriate box and supply the requisite information:

 Continuation  Divisional  Continuation-in-part (CIP) of prior application No.:

Prior application information: Examiner: Group Art Unit:

**18. CORRESPONDENCE ADDRESS**

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Signature

Date

March 3, 2000

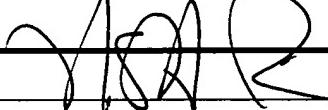
Submitted by  
Typed or Printed Name

N. Scott Pierce, Esq.

Reg. Number

34,900

# HAMILTON BROOK, SMITH & REYNOLDS, P.C.

FEE TRANSMITTAL FOR PATENT APPLICATIONS		Attorney Docket Number	1322.1026001	
		Application Number	09/518,020	
		First Named Inventor	David L. Kaplan E JC/3	
CLAIM CALCULATION (includes any preliminary amendment)				JUL 31 2000 U.S. PATENT & TRADEMARK OFFICE
CLAIMS	(1) FOR	(2) NUMBER FILED	(3) NUMBER EXTRA	(4) FEE (5) CALCULATIONS
	TOTAL CLAIMS (37 CFR 1.16(c) or (j))	38 - 20* =	18	x \$ 18 = \$ 324
	INDEPENDENT CLAIMS (37 CFR 1.16(b) or (i))	5 - 3** =	2	x \$ 78 = \$ 156
	MULTIPLE DEPENDENT CLAIMS (if applicable) (37 CFR 1.16(d))			+ \$ 260 = \$
				BASIC FEE (37 CFR 1.16(a) or (h)) \$ 690
				Total of above Calculations = \$ 1170
	Reduction by 50% for filing by small entity (37 CFR 1.9, 1.27, 1.28) =			\$ 585
				TOTAL = \$ 585
	Surcharge - Late Filing of Declaration or Filing Fees (37 C.F.R. 1.16(e)) =			\$ 65
	Petition for Extension of Time Fee (37 C.F.R. 1.17) =			\$
	Assignment Recordation Fee = (only when filed with application)			\$
	* Reissue claims in excess of 20 and over original patent ** Reissue independent claims over original patent			TOTAL = \$ 650
1. Small entity status: a. <input checked="" type="checkbox"/> A small entity statement is enclosed. b. <input type="checkbox"/> A small entity statement was filed in the prior non-provisional application and such status is still proper and desired. c. <input type="checkbox"/> Is no longer claimed.  2. <input checked="" type="checkbox"/> A general authorization is hereby granted to charge deposit account number 08-0380 for any fees required under 37 CFR 1.16 and 1.17 in order to maintain pendency of this application. A copy of this authorization is enclosed for accounting purposes.  3. <input checked="" type="checkbox"/> A check is enclosed for \$650.00. <input type="checkbox"/> Please charge \$[ ] to Deposit Account No. 08-0380.  4. <input type="checkbox"/> Other: _____   Signature _____ Date _____ Submitted by _____ N. Scott Pierce, Esq. Reg. Number _____ Typed or Printed Name _____ Reg. Number _____ 34,900				